

INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Docket Number (Optional)
CHA920010007US1

Application Number
10/047,577

Applicant(s)
Davies et al.

Filing Date
January 15, 2002

Group Art Unit
3629

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US 6,171,109 B1	01/2001	Ohsuga			
		6,122,633	09/2000	Leymann et al.			
		6,023,572	02/2000	Lautzenheiser et al.			
		6,021,401	02/2000	Oravetz et al.			
		5,960,420	09/1999	Leymann et al.			
		5,930,762	07/1999	Masch			
		5,745,901	04/1998	Entner et al.			
		5,655,086	08/1997	Jury et al.			
		5,493,489	02/1996	Tamaki et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

Dembyuyen

DATE CONSIDERED

4/1/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Davies et al. : Date: January 15, 2002

Serial Number: Concurrent : Group Art Unit: Unknown

Filed: Concurrent : Examiner: Unknown

Title: Separation of Duties for Business
Process Risk Management : IBM CORPORATION
Intellectual Property Law
: MD90/201-1
8501 IBM Drive
: Charlotte, NC 28262

11002 U.S. Pro
10/047577
01/15/02


INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

Commissioner For Patents
Washington, D. C. 20231

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

37 CFR 1.97(b) - No Fee.

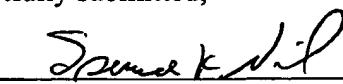
37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated _____, which is not more than 3 months prior to the mailing of this statement.

37 CFR 1.97(c) - Fee.

37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0469 (IBM).

Respectfully submitted,

By: 
Spencer K. Warnick
Registration No.: 40,398
Telephone No.: (518) 449-0044